



# SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



**The following document has been received:**

**Receiving:** ICTD ERMD 3

**Receipt Date and Time:** June 10, 2022 09:57:10 AM

## Company Information

---

**SEC Registration No.:** CS200711792

**Company Name:** GT CAPITAL HOLDINGS, INC.

**Industry Classification:** J66940

**Company Type:** Stock Corporation

## Document Information

---

---

**Document ID:** OST1061020228486220

**Document Type:** General Information Sheet

**Document Code:** GIS

**Period Covered:** May 11, 2022

**Submission Type:** Annual Meeting

**Remarks:** None

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2022

**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> GT Capital Holdings, Inc.		<b>DATE REGISTERED:</b> 26-Jul-07	
<b>BUSINESS/TRADE NAME:</b> GT Capital Holdings, Inc.		<b>FISCAL YEAR END:</b> December 31	
<b>SEC REGISTRATION NUMBER:</b> CS200711792		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 006-806-867	
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> Second Wednesday of May		<b>WEBSITE/URL ADDRESS:</b> <a href="http://gtcapital.com.ph">gtcapital.com.ph</a>	
<b>ACTUAL DATE OF ANNUAL MEETING:</b> May 11, 2022		<b>E-MAIL ADDRESS:</b> <a href="mailto:gtcaplegal@gtcapital.com.ph">gtcaplegal@gtcapital.com.ph</a>	
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 43rd Floor GT Tower International, 6813 Ayala Avenue corner H.V. Dela Costa Street, 1227 Makati City		<b>FAX NUMBER:</b> (632) 8836-4159	
<b>COMPLETE BUSINESS ADDRESS:</b> 43rd Floor GT Tower International, 6813 Ayala Avenue corner H.V. Dela Costa Street, 1227 Makati City	<b>OFFICIAL E-MAIL ADDRESS</b> <a href="mailto:gtcaplegal@gtcapital.com.ph">gtcaplegal@gtcapital.com.ph</a>	<b>ALTERNATE E-MAIL ADDRESS</b> <a href="mailto:IR@gtcapital.com.ph">IR@gtcapital.com.ph</a>	<b>OFFICIAL MOBILE NUMBER</b> (+63)998-580-4333
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> Sycip Gorres Velayo & Co./ Miguel U. Ballelos, Jr.	<b>SEC ACCREDITATION NUMBER (if applicable):</b> No. 109950-SEC (Group A)	<b>ALTERNATE MOBILE NUMBER</b> (+63)998-580-4334	
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> Sycip Gorres Velayo & Co./ Miguel U. Ballelos, Jr.	<b>SEC ACCREDITATION NUMBER (if applicable):</b> No. 109950-SEC (Group A)	<b>TELEPHONE NUMBER(S):</b> (632) 8836-4500	
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> Holding Company	<b>INDUSTRY CLASSIFICATION:</b>	<b>GEOGRAPHICAL CODE:</b>	

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
See Attached Annex "A"	See Attached Annex "A"	See Attached Annex "A"

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: GT Capital Holdings, Inc.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)  Yes  No

Please check the appropriate box:

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p><b>8.</b> <input checked="" type="checkbox"/> None of the above</p>
<p>Describe nature of business:</p>	<p>Holding Company</p>

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?  Yes  No

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** GT Capital Holdings, Inc.

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	298,257,000	10.00	2,982,570,000.00
	Perpetual Preferred	20,000,000	100.00	2,000,000,000.00
	Voting Preferred	174,300,000	0.10	17,430,000.00
	<b>TOTAL</b>	<b>492,557,000</b>	<b>TOTAL P</b>	<b>5,000,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	84	Common	174,452,176	53,465,943	10.00	1,744,521,760.00	81.03%
	171	Voting Preferred	172,974,064	1,582,365	0.10	17,297,406.40	99.24%
	8	Preferred Series A	4,806,610	4,806,610	100.00	480,661,000.00	99.33%
	12	Preferred Series B	7,030,395	7,030,395	100.00	703,039,500.00	98.18%
	<b>TOTAL</b>		<b>359,263,245</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>2,945,519,666.40</b>	<b>89.46%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
American	2	Common	1,283	1,283	10.00	12,830.00	0.001%
French	1	Common	1,780	1,780	10.00	17,800.00	0.001%
PCD Non-Fil.	1	Common	40,829,348	40,829,348	10.00	408,293,480.00	18.97%
	17	Voting Preferred	1,325,936	1,325,936	0.10	132,593.60	0.76%
PCD Non-Fil.	1	Preferred Series A	32,630	32,630	100.00	3,263,000.00	0.67%
PCD Non-Fil.	2	Preferred Series B	130,365	126,195	100.00	13,036,500.00	1.82%

<b>Percentage of Foreign Equity :</b>	<b>TOTAL</b>	<b>42,321,342</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>424,756,203.60</b>	<b>10.54%</b>
	<b>TOTAL SUBSCRIBED P</b>				<b>3,370,275,870.00</b>	<b>100%</b>

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	84	Common	174,452,176	10.00	1,744,521,760.00	81.03%
	171	Voting Preferred	172,974,064	0.10	17,297,406.40	99.24%
	8	Preferred Series A	4,806,610	100.00	480,661,000.00	99.33%
	12	Preferred Series B	7,030,395	100.00	703,039,500.00	98.18%
	<b>TOTAL</b>		<b>359,263,245</b>	<b>TOTAL P</b>	<b>2,945,519,666.40</b>	<b>89.46%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
American	2	Common	1,283	10.00	12,830.00	0.001%
French	1	Common	1,780	10.00	17,800.00	0.001%
PCD Non-Fil.	1	Common	40,829,348	10.00	408,293,480.00	18.97%
	17	Voting Preferred	1,325,936	0.10	132,593.60	0.76%
PCD Non-Fil.	1	Preferred Series A	32,630	100.00	3,263,000.00	0.67%
PCD Non-Fil.	2	Preferred Series B	130,365	100.00	13,036,500.00	1.82%
<b>10.54%</b>	<b>TOTAL</b>		<b>42,321,342</b>	<b>TOTAL P</b>	<b>424,756,203.60</b>	<b>10.54%</b>
				<b>TOTAL PAID-UP P</b>	<b>3,370,275,870.00</b>	<b>100%</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: GT Capital Holdings, Inc.

## DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. <b>Francisco C. Sebastian</b> [REDACTED]	Filipino	N	M	M	Y	Chairman	N/A	[REDACTED]
2. <b>Alfred Vy Ty</b> [REDACTED]	Filipino	Y	M	M	Y	Vice Chairman	C/M	[REDACTED]
3. <b>Carmelo Maria Luza Bautista</b> [REDACTED]	Filipino	N	M	M	Y	President	N/A	[REDACTED]
4. <b>Arthur Vy Ty</b> [REDACTED]	Filipino	Y	M	M	Y	N/A	N/A	[REDACTED]
5. <b>Renato C. Valencia</b> [REDACTED]	Filipino	N	I	M	Y	Lead Independent Director	A/M C/C N/C	[REDACTED]
6. <b>Rene J. Buenaventura</b> [REDACTED]	Filipino	N	I	M	Y	N/A	A/M C/M N/M	[REDACTED]
7. <b>Consuelo D. Garcia</b> [REDACTED]	Filipino	N	I	F	Y	N/A	N/A	[REDACTED]
8. <b>Gil B. Genio</b> [REDACTED]	Filipino	N	I	M	Y	N/A	A/C N/M	[REDACTED]
9. <b>David T. Go</b> [REDACTED]	Filipino	N	M	M	Y	N/A	N/A	[REDACTED]
10. <b>Regis V. Puno</b> [REDACTED]	Filipino	N	M	M	Y	N/A	A/M	[REDACTED]
11. <b>Pascual M. Garcia III</b> [REDACTED]	Filipino	N	M	M	Y	N/A	N/A	[REDACTED]
12. <b>Anjanette Ty Dy Buncio</b> [REDACTED]	Filipino	N	N/A	F	Y	Treasurer	N/A	[REDACTED]
13. <b>Alesandra T. Ty</b> [REDACTED]	Filipino	N	N/A	F	Y	Assistant Treasurer	N/A	[REDACTED]
14. <b>Antonio V. Viray</b> [REDACTED]	Filipino	N	N/A	M	N	Corporate Secretary	N/A	[REDACTED]
15. <b>Jocelyn Y. Kho</b> [REDACTED]	Filipino	N	N/A	F	Y	Assistant Corporate Secretary	N/A	[REDACTED]

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		GT Capital Holdings, Inc.						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
16. Francisco H. Suarez, Jr. [REDACTED]	Filipino	N	N/A	M	Y	EVP/Chief Financial Officer	N/A	[REDACTED]
17. Vicente Jose S. Socco [REDACTED]	Filipino	N	N/A	M	N	Executive Vice President	N/A	[REDACTED]
18. Jose B. Crisol, Jr. [REDACTED]	Filipino	N	N/A	M	Y	SVP/ Head Investor Relations	N/A	[REDACTED]
19. Reyna Rose P. Manon-Og [REDACTED]	Filipino	N	N/A	F	Y	FVP/ Controller/ Head Acctg.	N/A	[REDACTED]
20. Joyce B. De Leon [REDACTED]	Filipino	N	N/A	F	N	FVP/ Chief Risk Officer	N/A	[REDACTED]
21. Susan E. Cornelio [REDACTED]	Filipino	N	N/A	F	N	VP/ Head Human Resources	N/A	[REDACTED]
22. Leo Paul C. Maagma [REDACTED]	Filipino	N	N/A	M	N	VP/Chief Audit Executive	N/A	[REDACTED]
23. Renee Lynn Miciano-Atienza [REDACTED]	Filipino	N	N/A	F	Y	VP/Head Legal & Compliance	N/A	[REDACTED]
24. Don David C. Asuncion [REDACTED]	Filipino	N	N/A	M	N	Vice President	N/A	[REDACTED]
25. Stephen John S. Comia [REDACTED]	Filipino	N	N/A	M	N	FVP/Head Property Management	N/A	[REDACTED]
INSTRUCTION:								
FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> GT Capital Holdings, Inc.	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 88	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 74
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> 215,712,338,105.00	

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1. Grand Titan Capital Holdings, Inc. Filipino [REDACTED]	Common	120,413,658	1,204,136,580.00	55.93%	1,209,626,520.60	
	Preferred	54,899,406	5,489,940.60	31.50%		
	<b>TOTAL</b>	<b>175,313,064</b>	<b>1,209,626,520.60</b>			
2. PCD Nominee Filipino [REDACTED]	Common	53,163,605	531,636,050.00	24.69%	1,707,252,550.00	
	Series A	4,771,510	477,151,000.00	98.60%		
	Series B	6,984,655	698,465,500.00	97.54%		
	<b>TOTAL</b>	<b>64,919,770</b>	<b>1,707,252,550.00</b>			
3. PCD Nominee Non-Filipino [REDACTED]	Common	40,829,348	408,293,480.00	18.96%	424,372,980.00	
	Series A	32,630	3,263,000.00	0.67%		
	Series B	128,165	12,816,500.00	1.79%		
	<b>TOTAL</b>	<b>40,990,143</b>	<b>424,372,980.00</b>			
4. Nove Ferum Holdings, Inc. Filipino [REDACTED]	Preferred	47,261,757	4,726,175.70	27.12%	4,726,175.70	
	<b>TOTAL</b>	<b>47,261,757</b>	<b>4,726,175.70</b>			
5. 82 Alpha Holdings Corporation Filipino [REDACTED]	Preferred	39,594,789	3,959,478.90	22.72%	3,959,478.90	
	<b>TOTAL</b>	<b>39,594,789</b>	<b>3,959,478.90</b>			
6. Nieman Rhodes Holdings Corporation Filipino [REDACTED]	Preferred	13,299,452	1,329,945.20	7.63%	1,329,945.20	
	<b>TOTAL</b>	<b>13,299,452</b>	<b>1,329,945.20</b>			
7. Philippine Geiko Holdings Inc. Filipino [REDACTED]	Preferred	13,299,452	1,329,945.20	7.63%	1,329,945.20	
	<b>TOTAL</b>	<b>13,299,452</b>	<b>1,329,945.20</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>						
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> GT Capital Holdings, Inc.	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 88	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 74
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> 215,712,338,105.00	

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. George S.K. Ty Filipino [REDACTED]	Common	223,560	2,235,600.00	0.104%	2,271,622.40	
	Preferred	360,224	36,022.40	0.207%		
	<b>TOTAL</b>	<b>583,784</b>	<b>2,271,622.40</b>			
9. Arthur Vy Ty Filipino [REDACTED]	Common	111,780	1,117,800.00	0.052%	1,135,811.20	
	Preferred	180,112	18,011.20	0.103%		
	<b>TOTAL</b>	<b>291,892</b>	<b>1,135,811.20</b>			
10. Alfred Vy Ty Filipino [REDACTED]	Common	111,780	1,117,800.00	0.052%	1,135,811.20	
	Preferred	180,112	18,011.20	0.103%		
	<b>TOTAL</b>	<b>291,892</b>	<b>1,135,811.20</b>			
11. Mary Vy Ty Filipino [REDACTED]	Common	110,662	1,106,620.00	0.051%	1,338,029.50	
	Preferred	2,314,095	231,409.50	1.330%		
	<b>TOTAL</b>	<b>2,424,757</b>	<b>1,338,029.50</b>			
12. La Filipina Uy Gongco Corporation Filipino [REDACTED]	Common	147,190	1,471,900.00	0.068%	1,471,900.00	
	<b>TOTAL</b>	<b>147,190</b>	<b>1,471,900.00</b>			
13. Bloomingdale Enterprises, Inc. Filipino [REDACTED]	Common	34,261	342,610.00	0.016%	342,610.00	
	<b>TOTAL</b>	<b>34,261</b>	<b>342,610.00</b>			
14. Tiong King C. Po Filipino [REDACTED]	Common	31,000	310,000.00	0.014%	310,000.00	
	<b>TOTAL</b>	<b>31,000</b>	<b>310,000.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>						
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> GT Capital Holdings, Inc.	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 88	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 74
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> 215,712,338,105.00	

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
15. Salud D. De Castro Filipino [REDACTED]	Common	21,603	216,030.00	0.010%	219,030.00	
	Preferred	30,000	3,000.00	0.017%		
	<b>TOTAL</b>	<b>51,603</b>	<b>219,030.00</b>			
16. United Life Assurance Filipino [REDACTED]	Common	11,178	111,780.00	0.005%	111,780.00	
	<b>TOTAL</b>	<b>11,178</b>	<b>111,780.00</b>			
17. Josephine T. Chua Filipino [REDACTED]	Common	9,371	93,710.00	0.004%	93,710.00	
	<b>TOTAL</b>	<b>9,371</b>	<b>93,710.00</b>			
18. Asuncion C. Chan Filipino [REDACTED]	Common	6,707	67,070.00	0.003%	67,670.00	
	Preferred	6,000	600.00	0.003%		
	<b>TOTAL</b>	<b>12,707</b>	<b>67,670.00</b>			
19. Anita C. Choi Filipino [REDACTED]	Common	4,471	44,710.00	0.002%	45,110.00	
	Preferred	4,000	400.00	0.002%		
	<b>TOTAL</b>	<b>8,471</b>	<b>45,110.00</b>			
20. Peter Mar or Annabelle C. Mar Filipino [REDACTED]	Common	3,353	33,530.00	0.002%	33,530.00	
	<b>TOTAL</b>	<b>3,353</b>	<b>33,530.00</b>			
21. OTHERS (72 Stockholders) OTHERS (176 Stockholders) OTHERS (7 Stockholders) OTHERS (12 Stockholders)	Common	51,060	510,600.00	0.024%	9,101,660.10	
	Preferred	2,870,601	287,060.10	1.65%		
	Series A	35,100	3,510,000.00	0.73%		
	Series B	47,940	4,794,000.00	0.67%		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>3,370,275,870.00</b>	<b>100%</b>	<b>3,370,275,870.00</b>	
			<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** GT Capital Holdings, Inc.

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS	Dec. 31, 2021 (Parent Company) PhP 177,393,334,498	Various
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	N/A
1.3 LOANS/ CREDITS/ ADVANCES	N/A	N/A
1.4 GOVERNMENT TREASURY BILLS	N/A	N/A
1.5 OTHERS	N/A	N/A

2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
None	None	None

3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
	None	None

4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR Php 22,750,122,821 (Parent)

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: 2021		
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED
5.1 CASH	1,235,396,403.00	March 22 & Dec. 17, 2021
5.2 STOCK	None	None
5.3 PROPERTY	None	None
<b>TOTAL</b>	<b>P</b>	<b>None</b>

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: 2021		
DATE	NO. OF SHARES	AMOUNT
None	None	None
None	None	None
None	None	None
None	None	None

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Certificate of Permit to Offer Securities for Sale (Order # 092)	None	None
DATE ISSUED:	April 4, 2012	None	None
DATE STARTED OPERATIONS:	July 26, 2007	None	None

TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
Php 30.32 Million	49	5	54

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **ANTONIO V. VIRAY**, Corporate Secretary of **GT CAPITAL HOLDINGS, INC.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this 9 day of June, 2022 in Makati City.



**Antonio V. Viray**  
(Signature over printed name)

**SUBSCRIBED AND SWORN TO** before me in MAKATI CITY on JUN 09 2022 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Senior Citizens ID [REDACTED] issued at Office of Senior Citizens Affairs [REDACTED] on March 7, 2002.

Doc. No. 219 ;  
Page No. 44 ;  
Book No. 14 ;  
Series of 2022

NOTARY PUBLIC



**ATTY. MELISSA B. REYES**  
Notary Public for Makati City until June 30, 2022  
Roll No. [REDACTED] / Appointment No. [REDACTED]  
JEP [REDACTED] / PTR No. [REDACTED]

**GT CAPITAL HOLDINGS, INC.**  
**Significant Domestic Subsidiaries and Affiliates**

Subsidiary/Affiliate	SEC Registration Number	Address
Toyota Motor Philippines Corporation	153869	Toyota Special Economic Zone, Santa Rosa-Tagaytay Highway, Santa Rosa City, Laguna
Philippine Axa Life Insurance Corporation	21938	34 <sup>th</sup> Floor, GT Tower International, 6813 Ayala Avenue cor. H.V. Dela Costa St., Makati City
Metropolitan Bank & Trust Company	20573	Metrobank Plaza, Sen. Gil Puyat Avenue, 1200 Makati City
Federal Land, Inc.	A199706686	20 <sup>th</sup> Floor, GT Tower International, 6813 Ayala Avenue cor. H.V. Dela Costa St., Makati City
Toyota Manila Bay Corporation	A1996-02434	Roxas Boulevard corner EDSA Extension, Boulevard 2000, Pasay City
Toyota Financial Services Philippines Corporation	CS200251691	32 <sup>nd</sup> Floor GT Tower International, 6813 Ayala Avenue cor. H.V. Dela Costa St., Makati City
Metro Pacific Investments Corporation	CS200604494	10 <sup>th</sup> Floor MGO Building, Legazpi cor. Dela Rosa Streets, Legazpi Village. Makati City
GT Capital Auto and Mobility Holdings, Inc.	CS201612315	43 <sup>rd</sup> Floor, GT Tower International, 6813 Ayala Avenue cor. H.V. Dela Costa St., Makati City
Sumisho Motor Finance Corporation	CS200917691	12 <sup>th</sup> Floor PSBANK Center, 777 Paseo de Roxas cor. Sedeño Street, 1226 Makati City